

RETIREMENT ACCOUNT SETUP FORM

Account Owner: _____ **Social Security:** _____

What kind of retirement account would you like?

Traditional IRA Roth IRA Rollover IRA SEP IRA

Beneficiary: You can designate primary beneficiaries who will receive the cash value of your IRA if you should die. You can also choose not to specify any beneficiaries. In that case, the cash value of your IRA will pass to your estate. If you designate more than one beneficiary be sure that the total percent share adds up to 100%.

Name:	Primary Beneficiary 1
Title First Middle Last Suffix	
Social Security Number:	Relationship: <input type="checkbox"/> Spouse <input type="checkbox"/> Other
Birth Date (MM/DD/YYYY):	Percent Share:

Name:	Primary Beneficiary 2
Title First Middle Last Suffix	
Social Security Number:	Relationship: <input type="checkbox"/> Spouse <input type="checkbox"/> Other
Birth Date (MM/DD/YYYY):	Percent Share:

Backup Beneficiary: You can designate backup beneficiaries who will receive the cash value of this IRA if your primary beneficiary dies. You can also choose not to specify any backup beneficiaries. In that case, the cash value of this IRA will pass to your estate.

Name:	Backup Beneficiary
Title First Middle Last Suffix	
Social Security Number:	Relationship: <input type="checkbox"/> Spouse <input type="checkbox"/> Other
Birth Date (MM/DD/YYYY):	Percent Share:

Instructions: Please circle your most accurate response(s) to each question.

Which of the following statements best describes your main investment objective for this account?

1. Beat the market. I want a broadly diversified portfolio that will attempt to outperform the market
2. Maximum gain. I am trying to grow my portfolio as fast as possible. I do not depend on these assets for income.
3. Income. I depend on my portfolio to supplement my income from other sources.
4. Don't lose money. I want to be sure my investment at least maintains its value.

Generally, investments with higher potential returns also carry higher risk. How much risk are you prepared to take with this account?

1. I am uncomfortable taking risks with my money and do not wish to jeopardize my capital
2. I am comfortable taking moderate risks and investing for the long-term to achieve capital growth
3. I am seeking high capital growth. I am willing and able to suffer a potentially large loss in the pursuit of significant gains

What is your anticipated investment time frame?

1. Less than 2 years
2. Between 2 and 5 years
3. Between 5 and 10 years
4. More than 10 years

How much of your portfolio will your investment in this account represent?

1. Less than 10%
2. Between 10 and 25%
3. Between 25% and 50%
4. Between 50% and 75%
5. Over 75%

SIGNATURE

Signature _____ Date _____

Fax to (815) 550-2852